

(Modified) PTO/SB/81 (11-98)
Approved for use through 6/30/99. OMB 0651-0035

POWER OF ATTORNEY OR AUTHORIZATION OF AGENT, NOT ACCOMPANYING APPLICATION	Application Number	10623,036
	Filing Date	July 18, 2003
	First Named Inventor	Willem P.C. Stemmer
	Group Art Unit	1637
	Examiner Name	Samuel C. Woolwine
	Attorney Docket Number	0100.227US

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I am the

Applicant.

☒ Assignee of record of the entire interest (Certificate under 37 CFR §3.73(b) is enclosed)

SIGNATURE of Applicant or Assignee of Record

Name	Lawrence W. Briscoe, Chief Financial Officer and Senior Vice-President
Signature	<i>Lawrence W. Briscoe</i>
Date	11-6-06

CERTIFICATE OF MAILING FACSIMILE

I hereby certify that this correspondence is being transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (571) 273-8300 on this date: NOVEMBER 6, 2006

Typed or printed name	Sharon M. Fujita		
Signature	<i>Sharon M. Fujita</i>	Date	NOVEMBER 6, 2006

PTO/SB-98 (2-98)
Approved for use through 09/30/2000, OMB 0851-0031**STATEMENT UNDER 37 CFR §3.73(b)**RECEIVED
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Applicant: Willem P.C. StemmerApplication No.: 10/623,036Filed: July 18, 2003Entitled: Methods for Generating Polynucleotides Having Desired Characteristics
by Iterative Selection and RecombinationMaxygen, Inc.

, a

corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel __, Frame __, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
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2. From: _____ To: _____
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☐ Additional documents in the chain of title are listed on a supplemental sheet

☒ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

11-6-06

Date


SignatureLawrence W. Briscoe

Typed or printed name

CFO and Senior Vice President

Title



0238 0170100
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

MAY 30, 1996

PTAS

TOWNSEND AND TOWNSEND AND CREW
TRACY J. DUNN
STEUART STREET TOWER TWENTIETH FLOOR
ONE MARKET PLAZA
SAN FRANCISCO, CA 94105-1492



100150835A

UNITED STATES PATENT AND TRADEMARK OFFICE
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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/04/1996

REEL/FRAME: 7834/0172
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

STEMMER, WILLEM P.C.

DOC DATE: 02/16/1996

ASSIGNOR:

CRAMERI, ANDREAS

DOC DATE: 02/23/1996

ASSIGNEE:

AFFYMAX TECHNOLOGIES N.V.
DE RUYDERKADE 62
CURACAO, NETHERLANDS

SERIAL NUMBER: 08537874

PATENT NUMBER:

FILING DATE: 03/04/1996

ISSUE DATE:

PEARLENE FOSTER, EXAMINER
ASSIGNMENT DIVISION
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To the Honorable Asst. Commissioner for Patents, Please

1. Name of conveying party(ies):

3-4-96

WILLEM P.C. STEMMER
ANDREAS CRAMER

Additional name(s) of conveying party(ies) attached? ☐ Yes

☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other:

Execution Date: 2/16/96 & 2/23/96

Name:

AFFYMAX TECHNOLOGIES

Internal Address:

Street Address: DE RUYDERKADE 62

City: CURACAO

State: NETHERLANDS ANTILLES

ZIP:

Additional name(s) & address(es) attached? ☐ Yes

☒ No

4. Application number(s) or patent number(s).

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 08/537,874

B. Patent No.(s)

Additional numbers attached?

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Tracy J. Dunn
TOWNSEND and TOWNSEND and CREW
Twentieth Floor
Steuart Street Tower
One Market Plaza
San Francisco, California 94105-1492
(415) 326-2400

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):..... \$ 40.00

☐ Enclosed

☒ Charge Fees to Deposit Account

☒ Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account

8. Deposit account number: 20-1430

WT04204 03/15/96 08537874

20-1430 040 581

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Tracy J. Dunn

Name of Person Signing

Signature

Date

Atty. Reg. No. 34,587

Total number of pages including cover sheet, attachments, and document:

3

10. Change Correspondence Address to that of Part 5? ☒ Yes ☐ No

OMB No. 0651-0011 (exp. 4/94)

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Attorney Docket No. 16528J-014610US

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Willem P.C. Stemmer of Los Gatos California, and Andreas Crameri of Mountain View, California, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below identified application for United States Letters Patent:

Title of the Invention: **METHODS FOR GENERATING POLYNUCLEOTIDES HAVING DESIRED CHARACTERISTICS BY ITERATIVE SELECTION AND RECOMBINATION**

Filing date: October 30, 1995 Serial No.: 08/537,874; and

WHEREAS, AFFYMAX TECHNOLOGIES N.V. a Netherlands Antilles corporation, located at De Ruyderkade 62, Curacao, Netherlands Antilles, hereinafter referred to as "Assignee," is desirous of acquiring an interest in the invention and application and in any Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

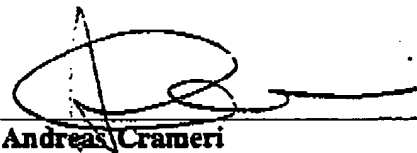
Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Date: 2-16-96


Willem P.C. Stemmer

Date: 2-23-96


Andreas Crameri

ASSIGN.MRG 5/94



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
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OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

MAY 03, 1998

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AFFYMAX RESEARCH INSTITUTE
LAUREN L. STEVENS, PH.D.
CORPORATE OFFICES
4001 MIRANDA AVENUE
PALO ALTO, CA 9430



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RECORDATION DATE: 03/04/1998

REEL/FRAME: 9011/0931
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

AFFYMAX TECHNOLOGIES N.V.

DOC DATE: 02/26/1998

ASSIGNEE:

MAXYGEN, INC.
3410 CENTRAL EXPRESSWAY
SANTA CLARA, CALIFORNIA 95051

SERIAL NUMBER: 08537874
PATENT NUMBER:

FILING DATE: 03/04/1996
ISSUE DATE:

SEDLEY PYNE, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

Ref# 018077-146-1
Inv# 1057.1

Docket No. 1057.1

FORM PTO-1595
(Rev. 6/93)

03-11-1998

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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MRD 3.4.98

100657301

To the Assistant Commissioner of Patents. Please record the attached original document or copy thereof.

1. Name of Party(ies) conveying an interest:

AFFYMAX TECHNOLOGIES N.V.
Glaxo Wellcome House, Berkeley Avenue,
Greenford, Middlesex UB6 0NN, England

2. Name and Address of Party(ies) receiving an interest:

MAXYGEN, INC.
3410 Central Expressway
Santa Clara, California 95051Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Change of Name

Other:

☐ Security Agreement☐ Merger

Execution date(s):

4. Application number(s) or patent number(s). Additional numbers attached? ☐ Yes ☒ No

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 08/537,874

B. Patent No.(s)

5. Name and Address of party to whom correspondence concerning document should be mailed:

AFFYMAX RESEARCH INSTITUTE
Corporate Offices
4001 Miranda Avenue
Palo Alto, CA 9430
(650) 812-8700

6. Number of applications and patents involved:

1

7. Total fee (37 CFR 3.41): \$40.00

☐ Enclosed ☒ Charge Fees to Deposit Account☒ Charge any additional fees associated with this paper or during the pendency of this application, or any credit any overpayment, to deposit account.

8. Deposit account number: 01-0430

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9. Statement and signature:

*To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.*Lauren L. Stevens, Ph.D., Reg. No. 36,691
Name of Person SigningLauren L. Stevens

Signature

2-26-98

Date

Total number of pages including cover sheet, attachments, and document: 3

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Docket No. 1057.1

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ASSIGNMENT

WHEREAS, AFFYMAX TECHNOLOGIES N.V., a company whose registered address is Glaxo Wellcome House, Berkeley Avenue, Greenford, Middlesex UB6 0NN, England, hereinafter referred to as "ASSIGNOR," is the owner of all rights in certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: METHODS FOR GENERATING POLYNUCLEOTIDES HAVING
DESIRED CHARACTERISTICS BY ITERATIVE SELECTION
AND RECOMBINATION

Filing Date: OCTOBER 30, 1995

Serial No.: 08/537,874

WHEREAS, MAXYGEN, INC., a Delaware corporation, located at 3410 Central Expressway, Santa Clara, California 95051, hereinafter referred to as "ASSIGNEE," wants to acquire an interest in the invention and application and in any Letters Patent and Registrations that may be granted on the same.

For good and valuable consideration, receipt of which is hereby acknowledged by Assignor, Assignor has assigned, and by these presents does assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignor had this assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignor further agrees that Assignor will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, this Assignment is executed this 26th day of FEBRUARY, 1998.

By: Gordon Ringold

Name: Gordon Ringold

Title: Agent